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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT :** George H. Butcher, III  
**SERIAL NO. :** 10/034,418 1  
**FILED :** December 27, 2001  
**ATTY. DOCKET :** 7056.049  
**TITLE :** A METHOD FOR INVESTING YIELD RESTRICTED MONIES

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ORIGINALLY FILED**

**REGULAR MAIL CERTIFICATE**

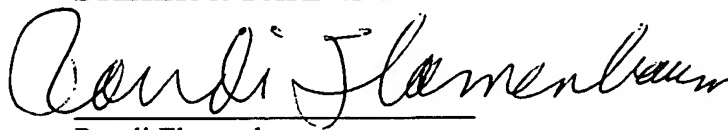
Date of Deposit: June 27, 2002

I hereby certify that the following attached paper(s) and/or fee

- (1) A copy of the Notice to File Missing Parts of Application;
- (2) An Executed Declaration document;
- (3) A Petition for Extension
- (4) A credit card form in the amount of \$530.00.
- (5) A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents

by depositing same in a postage prepaid envelope in an official depository under the exclusive care and custody of the United States Postal Service under 37 C.F.R. Section 1.10 on the date indicated above and is addressed to the "Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231"

Respectfully submitted,  
**DREIER & BARITZ LLP**

  
Randi Flamenbaum

Dated: June 27, 2002

**CORRESPONDENCE:**

**DREIER & BARITZ LLP**  
499 Park Avenue, 20<sup>th</sup> Floor  
New York, NY 10022  
Tele: (212) 328-6123  
Fax: (212) 328-6101



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/034,419	12/27/2001	George H. Butcher, II	7056.049

CONFIRMATION NO. 1154

## FORMALITIES LETTER



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 25546  
 DREIER & BARITZ LLP  
 499 PARK AVENUE  
 20TH FLOOR  
 NEW YORK, NY 10022

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Date Mailed: 03/20/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.160 of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice **MUST** be returned with the reply.

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 The PTO did not receive the following  
 listed item(s): *Page 10 of 15 missing*